

Graph Topology and Discrete Morse Theory

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Outline Part I

Origins

Smooth Morse Theory

Discrete Morse Theory



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- Smooth Morse Theory
- Discrete Morse Theory

Focusing on Graphs

- Definitions
- Gradient Flow



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Results

- Characterizing Critical Cells
- Characterizing Gradient Flow
- Weak Morse Inequalities



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Results

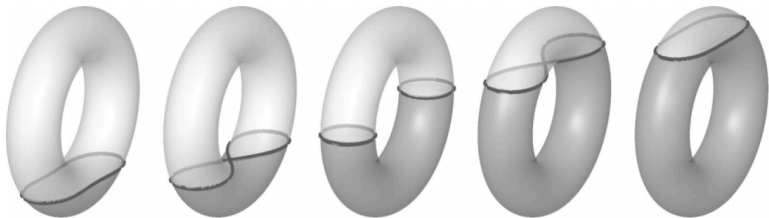
- Characterizing Critical Cells
- Characterizing Gradient Flow
- Weak Morse Inequalities

Future Directions



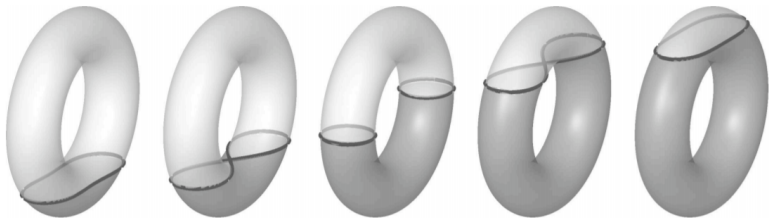
Smooth Morse Theory

Building Intuition



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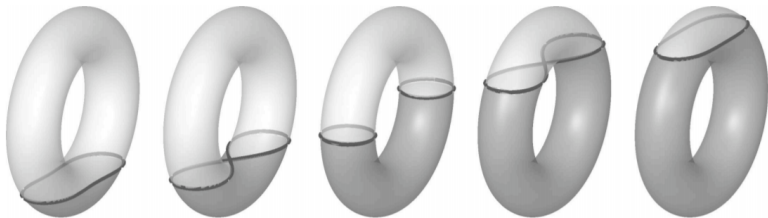


Note that an important result in smooth Morse theory is that given a critical point, we can choose the correct local coordinates so the function takes the form of a paraboloid opening upwards/downwards or a saddle point.



Smooth Morse Theory

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Note that an important result in smooth Morse theory is that given a critical point, we can choose the correct local coordinates so the function takes the form of a paraboloid opening upwards/downwards or a saddle point.

Lastly, it turns out that there is an important correspondence between Morse functions f and gradient-like vector fields for f .



Shifting View

Discrete Morse theory was developed by Robin Forman around 2002, in his published work *A Users Guide to Discrete Morse Theory*.

¹CW complexes can be regarded as a generalization of graphs, where not only can you glue points and edges (S^0) together, but higher dimensional spheres as well.





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Discrete Morse theory was developed by Robin Forman around 2002, in his published work *A Users Guide to Discrete Morse Theory*. Here, he develops an adaption of smooth Morse theory for CW complexes¹ that preserves many discrete analogues to the properties of Morse functions in smooth Morse theory.

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We will only focus on the definition with 0-cells (vertices) and 1-cells (edges), i.e. graphs.

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Focusing on Graphs

Definitions

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Definition

Let $\Gamma = (V, E)$ be a graph. A *discrete Morse function* is a function $f : \Gamma_c = V \cup E \rightarrow \mathbb{R}$ such that for every $\sigma \in \Gamma_c$,

$$|\{\tau \in \Gamma_c \mid \sigma < \tau \text{ and } f(\sigma) \geq f(\tau)\}| \leq 1; \quad (1)$$

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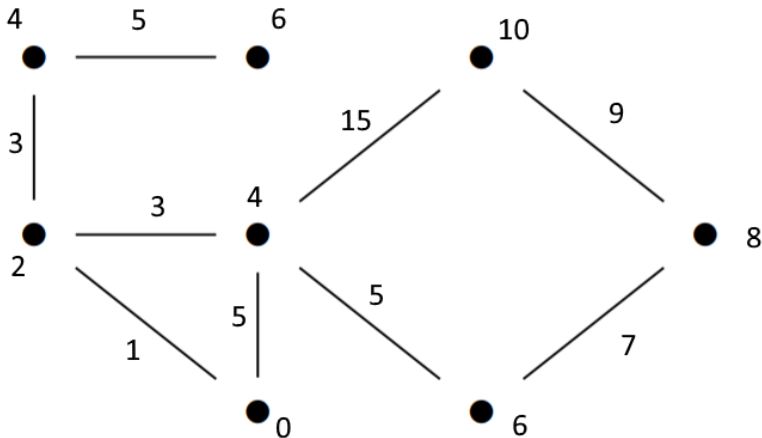
$$|\{\tau \in \Gamma_c \mid \sigma > \tau \text{ and } f(\sigma) \leq f(\tau)\}| \leq 1. \quad (2)$$

We say a cell (vertex or edge) is *critical* if both sets (1) and (2) above are empty. We let $c_0(f)$ denote the number of critical vertices, and $c_1(f)$ number of critical edges.



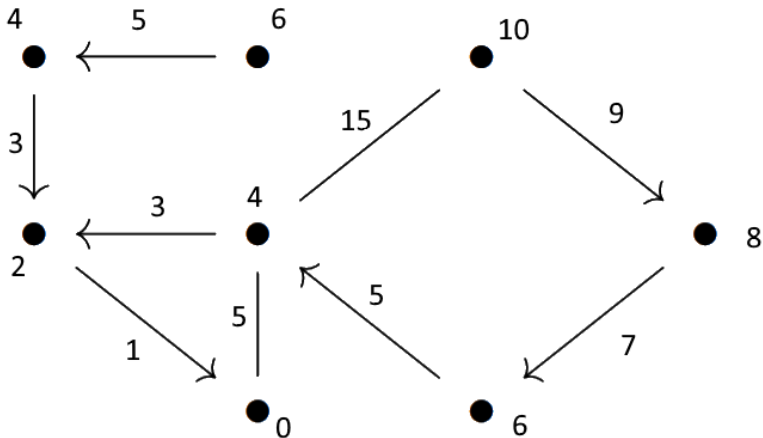
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Results

Gradient Flow



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Characterizing Critical Cells

We call this oriented graph corresponding to a pair (Γ, f) of a graph and its discrete Morse function the *gradient flow* Γ_f .



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Theorem (A.T.)

1. *edge is critical* \iff *undirected in Γ_f*
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In fact, we can do even better. We can fully characterize discrete Morse functions with gradient flows.



Results

Characterizing Gradient Flow

Theorem (A.T)

Let Γ_o be a directed graph and Γ be its underlying undirected graph. Then $\Gamma_o = \Gamma_f$ for some discrete Morse function f on Γ if and only if

- 1. no two edges share a tail, and*
- 2. there are no directed loops.*



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If we denote by $\text{Morse}(\Gamma)$ be the set of all discrete Morse functions that can be defined on Γ , then

$$f \sim_{\Gamma} g \iff \Gamma_f \cong \Gamma_g$$

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This turns out to be equivalent to Forman's equivalence of discrete Morse functions on a graph, i.e. f is equivalent to g if and only if for every vertex and edge of Γ ,

$$f(v) < f(e) \iff g(v) < g(e).$$



Results

Weak Morse Inequalities

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Theorem (Weak Morse Inequalities, A.T.)

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Additionally, there have been numerous technical results concerning the equivalence classes that are still being studied in more depth.



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- By observing the barycentric subdivision of simplicial complexes and regular CW complexes, attempt to frame general discrete Morse theory in terms of these equivalence classes.
- Develop an analogue of discrete Morse theory for hypergraphs.



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Outline

Topology of Graphs

- Chain Complexes
- The Graph Laplacian
- Graph Homology

Graph de Rham Calculus

- Differentiation
- Integration
- Main Results
 - Graph Stokes' Theorem
 - Graph Hodge Decomposition

Future Directions

- Solving Eigenvector Integration
- The Morse Complex
- Analyzing Graph DiffEqs





Graph Chain Complexes

Definition (Chain Complex of a Graph)

The **chain complex of a directed graph** $\Gamma = (V, E)$ is a sequence of vector spaces paired with linear maps

$$0 \rightarrow \mathbb{C}^{|E|} \xrightarrow{\partial_1} \mathbb{C}^{|V|} \rightarrow 0,$$

where ∂_1 is the **boundary operator** given by the $|V| \times |E|$ **incidence matrix** I whose entries are

$$I_{ij} = \begin{cases} 1 & \text{edge } e_j \text{ enters vertex } v_i \\ -1 & \text{edge } e_j \text{ leaves vertex } v_i \\ 0 & \text{otherwise} \end{cases}.$$





The Graph Laplacian

Definition (Graph Laplacian)

The **even and odd graph Laplacians** Δ^+ and Δ^- of an oriented graph Γ are given by

$$\Delta^+ := //^* : \mathbb{C}^{|V|} \rightarrow \mathbb{C}^{|V|}$$

$$\Delta^- := /|^* : \mathbb{C}^{|E|} \rightarrow \mathbb{C}^{|E|}.$$

Both matrices are positive semidefinite and symmetric (and therefore diagonalizable).





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$$\Delta^- := \mathbb{I}^* \mathbb{I} : \mathbb{C}^{|E|} \rightarrow \mathbb{C}^{|E|}.$$

Both matrices are positive semidefinite and symmetric (and therefore diagonalizable).

Lemma

Δ^+ is invariant under orientation. However, Δ^- is not.



Homology and Betti Numbers

Definition (Homology Groups)

The **homology groups** of a graph Γ are given by

$$H_1(\Gamma) = \ker(I) = \ker(\Delta^-)$$

and

$$H_0(\Gamma) = \ker(I^*) = \ker(\Delta^+).$$



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Theorem (Contreras-Xu)

Let b_1 and b_0 be the Betti numbers of Γ . Then

$$\dim(H_1(\Gamma)) = b_1$$

$$\dim(H_0(\Gamma)) = b_0.$$





Cochain Complexes and the Graph Differential

The **graph cochain complex** is simply the graph chain complex but with the arrows reversed, and I replaced with I^* :

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The **graph cochain complex** is simply the graph chain complex but with the arrows reversed, and I replaced with I^* :

$$0 \rightarrow \mathbb{C}^{|V|} \xrightarrow{I^*} \mathbb{C}^{|E|} \rightarrow 0.$$

By analogy with the differential operator on the de Rham complex, we may view I^* as a kind of graph differential operator.

In vector calculus terminology, I^* serves as the graph gradient.





Integration on Graphs

Definition (Vertex Integral)

Let f be a discrete function on the vertices of Γ . The **vertex integral of f over Γ** is given by

$$\int_{\partial\Gamma}^{\bullet} f = \sum_{v_i \in V} f(v_i) d_n(v_i),$$

where $d_n(v_i)$ is the number of incoming minus outgoing edges.

Definition (Edge Integral)

Let F be a discrete function on the edges of Γ . The **edge integral of F over Γ** is given by

$$\int_{\Gamma}^{-} F = \sum_{e_i \in E} F(e_i).$$





Main Results

Theorem (A.R)

(Stokes' Theorem for Graphs) *Let f be a vertex function on an oriented graph Γ . Then*

$$\int_{\partial\Gamma}^{\bullet} f = \int_{\Gamma}^{-} I^* f.$$





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Theorem (A.R)

(Graph Hodge Decomposition) *Let Γ be an oriented graph and $H_0(\Gamma) = \ker(\Delta^+) = \ker(I^*)$ and $H_1(\Gamma) = \ker(\Delta^-) = \ker(I)$ its n th homology group. Then*

$$\mathbb{C}^{|V|} = H_0(\Gamma) \oplus \text{Im}(I)$$

$$\mathbb{C}^{|E|} = H_1(\Gamma) \oplus \text{Im}(I^*).$$





Future Directions

1. What happens when we integrate an eigenvector with nonzero eigenvalue of Δ^+ or Δ^- ?



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1. What happens when we integrate an eigenvector with nonzero eigenvalue of Δ^+ or Δ^- ?
2. One may consider the Morse complex, the chain complex of the subgraph induced by the critical cells of a Morse graph.
 - 2.1 For an alternate proof of the Morse Inequalities, see Contreras-Xu, "The Graph Laplacian and Morse Inequalities."



